



Centaurus Financial, Inc Adviser Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of CESAR GARCIA that supplements the Centaurus Financial, Inc. brochure. You should have received a copy of that brochure. If you did not receive Centaurus Financial, Inc.'s brochure or have any questions about the contents of this brochure, please contact us at 800-880-4234 and/or CESAR.GARCIA@CFIEMAIL.COM. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

CESAR GARCIA

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Additional information about CESAR GARCIA is also available on the SEC's website at www.adviserinfo.sec.gov. CRD: 4640216

Effective Date: 12/23/2024

2300 East Katella Avenue Suite 200
Anaheim, CA 92806

Centaurus Financial, Inc

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Item 2 Educational Background and Business Experience

CESAR GARCIA was born in 1979

FORMAL EDUCATION

Institution Name: University of Southern California

Year Graduated: 2003

Degree Obtained: Bachelor of Arts

Major: International Relations

Honors or achievements, if applicable:

I graduated from high school in 1997.

RECENT WORK EXPERIENCE

Employment Dates: 05/2005 to Present

Business Name: HD ConcreteWorks

Investment Related: No

City: Rancho Cucamonga

State: CA

Job Description: I am a cement contractor on the weekends

Employment Dates: 08/2015 to Present

Business Name: Centaurus Financial

Investment Related: Yes

City: Anaheim

State: CA

Job Description: I help prepare financial plans, investment analysis and risk analysis to present to clients and prospects.

SECURITIES LICENSES

In order to offer securities and securities related products, federal and state regulations require various licenses. A complete list of the various exams I have taken can be obtained at www.finra.org/brokercheck. To offer advisory services, most jurisdictions require the passing of the Series 7 and Series 66 combined, or the passing of the Series 65. Some jurisdictions may waive the exam requirement based on industry experience or professional designations.

I have taken the following securities exam(s) or rely on an exam waiver or professional designation which permits me to conduct advisor services:

7

66

I am currently registered as an Investment Advisory Representative in the following states:

Alabama

Arizona

California

Idaho

Illinois

Nevada
Pennsylvania
Utah

In order for me to provide insurance services, I am required to be licensed according to various state insurance licensing requirements. I currently hold the following insurance licenses:

Life
Variable Annuity.

These licenses are held in the following states subject that state's insurance licensing requirements which are separate than those requirements to provide investment advisory related products:

California

PROFESSIONAL DESIGNATIONS

I currently do not hold any professional designations.

Item 3 Disciplinary Information

The SEC requires that I disclose to clients and prospective clients any disciplinary activity which would be material information impacting the client's ability to make an informed decision with regard to engaging my services. As such, I am required to disclose any administrative, legal or regulatory events which occurred within the last ten years or those events which may have occurred more than 10 years ago but which would still be material to your decision making process.

I do not have any disciplinary actions.

Item 4 Other Business Activities

In addition to being an Investment Adviser Representative with Centaurus Financial, Inc. I may also provide additional services as a Registered Representative of Centaurus and/or provide insurance services or other outside business activities through organizations not affiliated with Centaurus. These activities create a conflict of interest. Such conflict could result from commissions being earned or from the sharing of fees with other service providers. Such conflicts may cause the client to pay higher fees for brokerage and other services than if the client purchased such services either from me or without the assistance of an adviser. As noted in the agreement entered as between me and my clients, clients are under no obligation to purchase securities or other services through me, Centaurus Financial, or any other service provider as may be presented to the client by me.

Unless expressly disclosed herein, I receive no additional economic benefit that could create a material conflict of interest in the outside business activities as noted below. I conduct the following outside business activities.

ARCHANGEL FINANCIAL SERVICES, INC., NON-INVESTMENT RELATED, 16191 KAMANA ROAD, SUITE #202, APPLE VALLEY, CA 92307, DBA, SINCE 1/1/2018. HD CONCRETE WORKS, NON-INVESTMENT RELATED, 10950 ARROW RTE., UNIT 1222, RANCHO CUCAMONGA, CA 91729, CONCRETE CONSTRUCTION, OWNER/CONTRACTOR, SINCE 5/15/2005, DEVOTED TIME IS 20 HRS A MONTH, HANDLE DAY TO DAY OPERATIONS AS A POUR IN PLACE CONCRETE CONTRACTOR, MARKETING, ADVERTISING, ESTIMATING, AND SCHEDULING.

Item 5 Additional Compensation

As an advisory associate and/or Registered Representative of Centaurus Financial, I may receive additional benefits in addition to fees or commissions for selling or promoting certain products or services. The benefit received may be in the

form of travel reimbursement for attending a product sponsor's conference or other economic benefit which may or may not be monetary. Any such arrangement is monitored by Centaurus and is within regulatory limits.

Also, as an Independent Contractor to Centaurus Financial, I am neither a salaried employee nor do I receive a bonus as a result of business production. Centaurus may, at its discretion, subsidize my attendance at firm sponsored events and/or educational seminars.

Item 6 Supervision

In providing advisory services, such services shall be provided pursuant to the investment management agreement, financial plan agreement, or other agreement which may be executed as between me and my client(s). Such agreement will also discuss, as applicable, the appropriateness of any investment related advice, product or service.

In addition to the review parameters set out in the client/Adviser agreement, I am supervised by Centaurus Financial at several levels. Such supervision includes an annual attestation that I understand the policies and procedures relating to my advisory services offered through Centaurus Financial, Inc. At the client level, a principal of Centaurus Financial, Inc. reviews all account opening paperwork before an account is opened. Additionally, I am supervised by a regional compliance officer, and my office is inspected at least annually, which includes a review of sample client files in addition to auditing for compliance with firm policy and procedures.

My supervisor is:
Steve Skytte
OSJ
(714)456-1790